

Docket No.: 42P16418



In Roche Application of:

ROBERT J. ROYER

Application No.: 10/629093

Filed: July 28, 2003

For: MANAGING A CACHE WITH PINNED

DATA

Art Group: 2127

Examiner: Unknown

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

In accordance with the duty of disclosure, enclosed is a copy of IDS Citation Form PTO/SB/08 or PTO-1449, together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed). This IDS and IDS Citation Form are being submitted before the mailing of a first Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

It is hereby stated that no item of information contained in the Information Disclosure Statement was cited in a patent office in a counterpart application, and, to the knowledge of the undersigned, after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to any individual associated with the filing or prosecution of the subject application more than three months prior to the filing of the Information Disclosure Statement.

The references were cited in a Search Report dated December 16, 2004 (copy enclosed herewith) in a counterpart PCT application.

The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Please charge any fees due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Date: February 28, 2005

Gregory D. Caldwell, Reg. No. 39,926

12400 Wilshire Boulevard, 7th Floor Los Angeles, CA 90025 Telephone: (503) 439-8778

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Kristin A. Morrow

02-28-05

Date

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 1 of

Complete if Known Application Number 10/629093 Filing Date July 28, 2003 First Named Inventor Robert J. Royer Art Unit 2127 **Examiner Name** Unknown Attorney Docket Number 42P16418

U.S. PATENT DOCUMENTS								
	Cite No.'	Document Number Number - Kind Code ² (if known)	- Publication Date or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear			
1		US-2002/0062424 A1	05-23-2002	Liao et al.				
2	•	US-6,223,256 B1	04-24-2001	Gaither				
3	,	US-5,960,454	09-28-1999	Mandal et al.				
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	FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No.1		blication Date M-DD-YYYY	Name of Patentee or Applicant of Cited Document	Where Re	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear			
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Examiner	Date
Signature	Considered

*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

'Applicant's unique citation designation number (optional)!See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04?Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).'For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. 'Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possib@applicant is to place a check mark here if English language Translation is attached.

Based on PTO/SB/08A (08-03) as modified by Blakely, Solokoff, Taylor & Zafman (wlr) 08/11/2003.

(to be used for all	Correspondence af	iter initial filing)	Application No. Filing Date First Named Inventor Art Unit Examiner Name Attorney Docket Number	10/629093 July 28, 2003 Robert J. Royer 2127 Unknown 42P16418		
	ENCLO	SURES (chec	k all that apply)	After Allowance Communicati		
Fee Transmittal	Form	Drawing(s)	to Group		
Fee Attac	hed	Licensing-	related Papers	Appeal Communication to Boa of Appeals and Interferences Appeal Communication to Grou (Appeal Notice, Brief, Reply Brief) Proprietary Information		
Amendment / Re	esponse	Petition				
After Fina	I	Petition to	Convert a			
Affidavits/	declaration(s)	Provisiona	Convert a Application			
Extension of Tim	ne Request	Power of A Change of	Attorney, Revocation Correspondence Address	Status Letter		
Express Abando	·	Terminal Disclaimer Request for Refund		Other Enclosure(s) (please identify below):		
Information Disc	losure Statement			Copies of 3 Cited Refs.		
PTO/SB/0		CD Numb	er of CD(s)	Copy of PCT Search Report Return Postcard		
	Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application		0. 0. 0.0(0)			
Basic Filing Fee Declaration/POA						
Response Parts und 1.52 or 1.5	to Missing er 37 CFR 53					
	SIGNATUR	RE OF APPLICA	NT, ATTORNEY, OR A	GENT		
Firm	Gregory D. Ca	ldwell, Reg. N	o. 39,926			
or Individual name	BLAKELY. S	SOKOLOFF.	TAYLOR & ZAFM	AN LLP		
Signature	,	11/2				

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Typed or printed name	Kristin A. Morrow		
Signature	Kristin a. Morrow	Date	February 28, 2005

FEE TRANSMITTAL Complete if Known Application Number 10/629093 for FY 2005 Filing Date July 28, 2003 Patent fees are subject to annual revision. Robert J. Royer First Named Inventor Únknown Examiner Name Applicant claims small entity status. See 37 CFR 1.27. Art Unit 2127 TOTAL AMOUNT OF PAYMENT 0.00

Attorney Docket No.

42P16418

METHOD OF PAYMENT (check all that apply)									
□ Check □ Credit card □ Money Order ☒ None □ Other (please identify):									
Deposit Account Deposit Account Number: 02-2666 Deposit Account Name: Blakely, Sokoloff, Taylor & Zafr									
For the above-identified deposit account, the Director is hereby authorized to: (check all that apply) Charge fee(s) indicated below Charge fee(s) indicated below, except for the f									
Credit any overpayments									
under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.									
FEE CALCULATION									
Large Entity Small Entity									
Fee	Fee	Fee	Fee	Fee Description	Fee Paid				
Code	(\$)	Code	(\$)	ree Description	ree raiu				
1051	130	2051	65	Surcharge - late filing fee or oath					
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.					
2053	130	2053	130	lon-English specification					
1251	120	2251	60	Extension for reply within first month					
1252	450	2252	225	Extension for reply within second month					
1253	1,020	2253	510	Extension for reply within third month					
1254	1,590	2254	795	Extension for reply within fourth month					
1255	2,160	2255	1,080	xtension for reply within fifth month					
1401	500	2401	250	Notice of Appeal					
1402	500	2402	250	iling a brief in support of an appeal					
1403	1,000	2403		Request for oral hearing					
1451	1,510	2451		Petition to institute a public use proceeding					
1460	130	2460	130	Petitions to the Commissioner					
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)					
1806	180	1806		Submission of Information Disclosure Stmt					
1809	790	1809	395	Filing a submission after final rejection (37 CFR § 1.129(a))					
1810	790	2810	395	For each additional invention to be examined (37 CFR § 1.129(b)) 				
Other f	ee (spe	cify) IC	S - No	Fee	0.00				
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SUBMITTED B	Comp	Complete (if applicable)				
Name (Print/Type)	Gregory D. Caldwell	1,1 77	Registration No. (Attorney/Agent)	39,926	Telephone	(503) 439-8778
Signature		Date	02/28/05			